

Book 1 Exhibit J: Good Faith Efforts Summary and Affidavit

COLORADO DEPARTMENT OF TRANSPORTATION

GOOD FAITH EFFORTS SUMMARY AND AFFIDAVIT

Section 1. Contractor and Project Information

Contractor:		Project:	
Address:		Subaccount:	
Contact Name:		Bid Amount/Target Value:	
Contact Phone:		DBE Contract Goal Percentage:	
Contact Email:		DBE Contract Goal Dollar Value:	

Section 2. Expected Performance Summary

Amount of Work to be Self-Performed:	\$	Amount of Work to be Subcontracted:	\$
Description of Work to be Self-Performed:		Description of Work to be Subcontracted:	

Section 3. Efforts to Achieve DBE Participation. Attach a narrative that answers the question below and complete Page 2 (Direct Outreach Efforts). Provide any supporting documentation which demonstrates your good faith efforts. With the exception of the modifications requested in (g) all efforts must have been made before the proposal due date.

- a. What was the overall plan or approach to meeting the DBE contract goal?
- b. What work areas were identified as subcontracting opportunities for DBEs? What was the approximate number of DBEs per area?
- c. What indirect outreach, including events, publications, and/or communication with minority and other organizations did you conduct to reach DBEs? Provide the date(s), location and audience.
- d. What other efforts did you make to assist DBEs in competing for or obtaining contracts?
- e. What obstacles did you encounter in assisting or contracting with DBEs?
- f. Explain why you feel you did not meet the DBE contract goal and what could have been done differently.
- g. Explain any modifications made since submission of your Contractor DBE Commitment Summary. Describe any actions you have taken or intend to take to replace such commitments.

Section 4. Affidavit of Good Faith Efforts. The Proposer must show that it took all necessary and reasonable steps to achieve the DBE contract goal which by their scope, intensity and appropriateness to the objective, could reasonably be expected to obtain sufficient DBE participation, even if not fully successful. 49 CFR, Part 26, Appendix A sets forth examples and guidance for good faith efforts. The contractor is not limited to the examples provided in 49 CFR Part 26, Appendix A and may provide any documentation that demonstrates good faith efforts.

If at any time, CDOT has reason to believe that any person or firm has willfully and knowingly provided incorrect information or made false statements, CDOT may initiate suspension or debarment proceedings against the person or firm under 49 CFR Part 29, take enforcement action under 49 CFR Part 31, Program Fraud and Civil Remedies, and/or refer the matter to the Department of Justice or Office of the Inspector General for criminal prosecution under 18 U.S.C. 1001, which prohibits false statements in Federal program.

By signing below, the Contractor hereby affirms that it has made good faith efforts and has documented all such efforts in this form and the attached supporting documentation.

I, _____, am the _____ of _____.
 Representative Name Title Company

I have the authority to make this affidavit for and on behalf of my company. The information provided in the attached Certificate of Good Faith Efforts is true and accurate to the best of my belief.

 Signature Date

Notarization: Must be completed by a licensed notary.

County of _____ State of _____

Subscribed and sworn before me this _____ day of _____

Notary Signature _____

SEAL

Notary Address _____

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Direct Outreach Efforts (include DBEs and non-DBEs). Attach additional pages as necessary.							
Subcontractor/Supplier		DBE Y or N	Date(s)	Method(s): Phone, Fax, Email, or Other	Work Solicited	Response Received: No Contact, No Response, Proposal Submitted or Declined	Contractor Action: Accepted or Rejected If Rejected Provide Reason
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